

# **Heparins and Coagulation**

# Learning Objectives

- ☐ *What is the structure of heparin?*
- ☐ *How is heparin isolated?*
- ☐ *What are the biological activities of heparin?*
- ☐ *What are low molecular weight heparins?*
- ☐ *What are the similarities and differences between different heparins*
- ☐ *What is biosimilarity?*
- ☐ *What are the current rules for biosimilar biologics?*

# Heparins and Coagulation

- ☐ ***Discovery***
- ☐ ***Structure of Heparin***
- ☐ ***Preparation of Heparin***
- ☐ ***Biological Activities of Heparin***
- ☐ ***Anticoagulant Heparin***
  - ✓ *Heparin regulation of coagulation*
  - ✓ *Heparin activation of antithrombin*
  - ✓ *Crystal structure of complex with antithrombin*
  - ✓ *Bridging mechanism*
  - ✓ *Differential regulation of coagulation enzymes*
  - ✓ *Binding to other coagulation serpins*
  - ✓ *Adverse Effects*
- ☐ ***Low Molecular Weight Heparins***
  - ✓ *Structure*
  - ✓ *Preparation*
  - ✓ *Composition*
  - ✓ *Specific case of a LMWH*
- ☐ ***Similarities and Differences Between UFH and LMWH***
- ☐ ***Concepts on Biosimilarity***

# Heparin

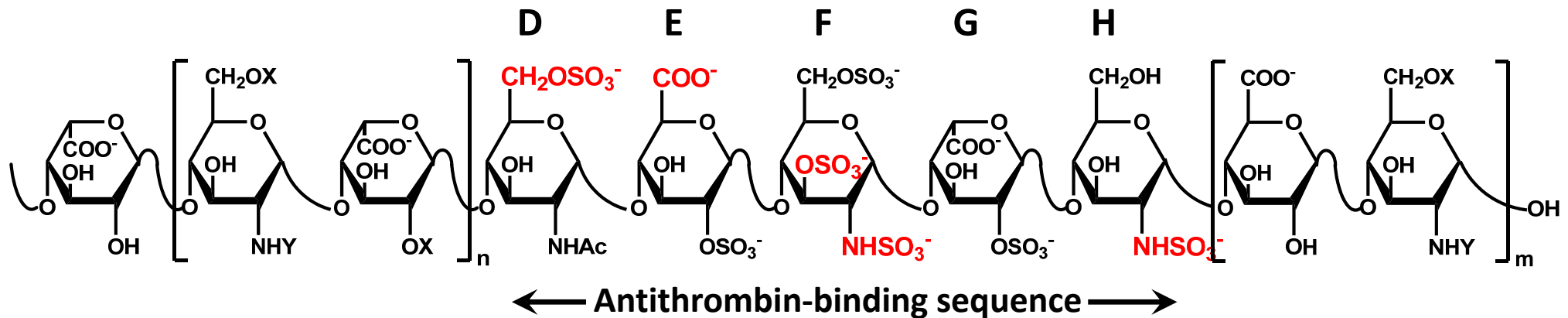
## ❑ **Discovery**

- ✓ *Discovered in 1916 by Jay McClean, a second year MD student, in the laboratory of William Howell (JHU)*
- ✓ *In 1928, Howell identified uronic acid as a constituent of heparin*
- ✓ *In 1935-1936, Jorpes and Bergstrom identified the 2<sup>nd</sup> constituent as glucosamine*
- ✓ *In 1940, heparin is sulfated was found, followed by ....*
- ✓ *First clinical trials were performed in 1935s and subsequently it entered the clinic*
- ✓ *In 1973, the mechanism of heparin action ... binding to antithrombin ... was discovered by Rosenberg and Damus*
- ✓ *Structure of the key antithrombin binding pentasaccharide was identified by the Rosenberg and Lindahl laboratories*

# Structure of Heparin

❑ **Heparin is a mixture of millions of species!**

- ✓  $M_R = \sim 13,000$
- ✓  $\text{Polydisperse} = M_W/M_N = 1.3 \rightarrow 1.4$
- ✓ Average chain length = 40 – 50 monomers
- ✓ High proportion of trisulfated disaccharide (GlcNp2S6S – IdoAp2S)
- ✓ High affinity pentasaccharide sequence between 25 – 30 % of chains



**Unfractionated Heparin**

X = -SO<sub>3</sub>Na or -H

Y = -SO<sub>3</sub>Na or -COCH<sub>3</sub>

# Preparation of Unfractionated Heparin

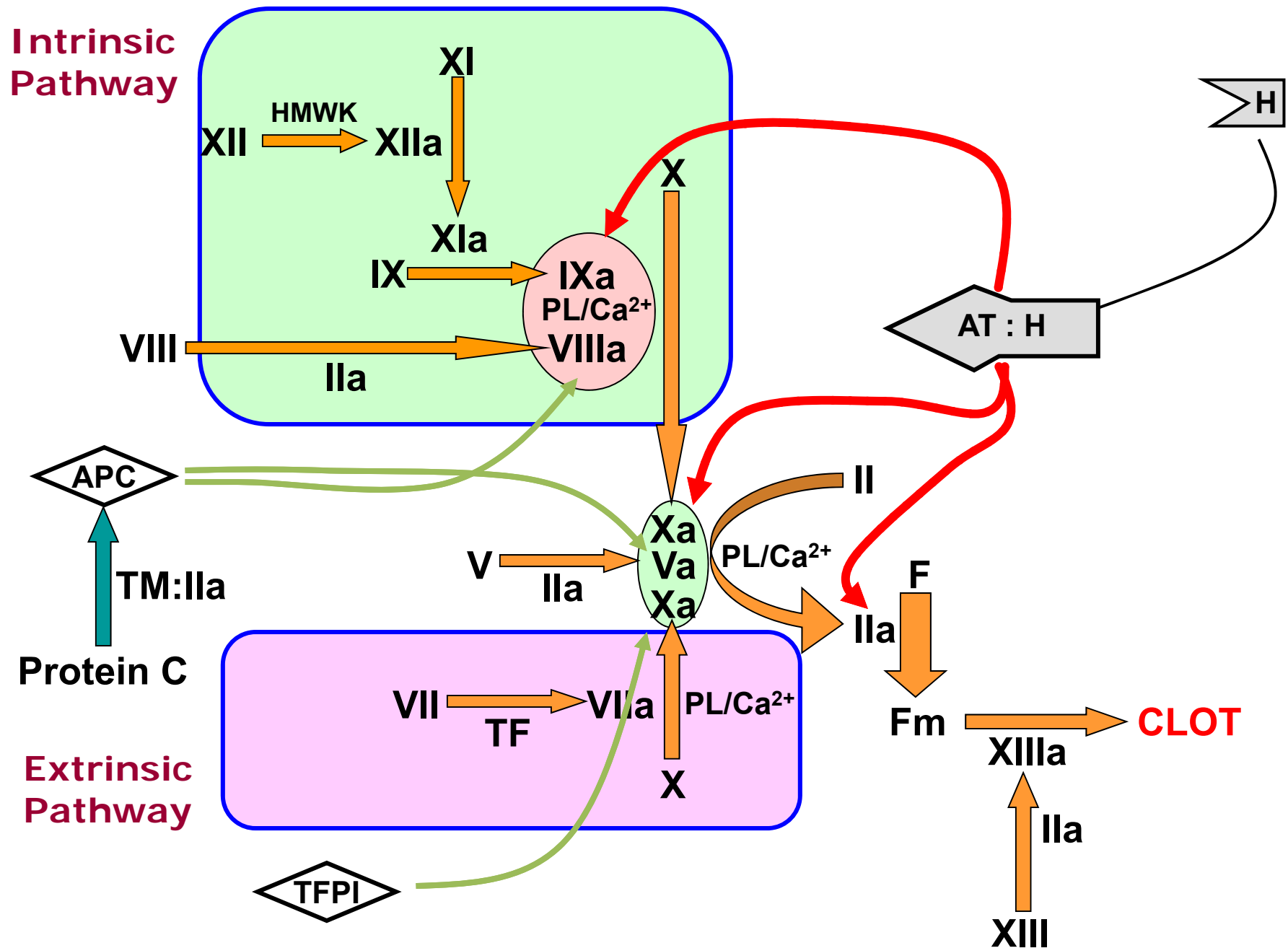
- ❑ **Source of Commercial Heparin**
  - ✓ Dog liver → Cow lung → Pig intestine
  - ✓ Different heparins in different species and tissues!
- ❑ **Basic Steps**
  - ✓ Tissue preparation
  - ✓ Heparin extraction
  - ✓ Recovery of stage 12 heparin
  - ✓ Purification of heparin
- ❑ **Tissue Preparation**
  - ✓ Collection of animal organ from the slaughterhouse
  - ✓ Tissue chopping / grinding to increase surface area
- ❑ **Heparin Extraction**
  - ✓ Mild alkaline hydrolysis at elevated temp / pressure
  - ✓ Proteolytic enzymes to aid digestion
  - ✓ Filtration to remove particles
  - ✓ Filtrate contains GAGs, peptides and nucleic acids
  - ✓ Enzyme inactivation at 90 °C / 15 min; also sanitizes
- ❑ **Recovery of Stage 12 Heparin**
  - ✓ Adsorption onto anion-exchange resin
  - ✓ Washing to remove unbound material
  - ✓ Elution with high salt and filtration
  - ✓ Precipitation with ethanol
  - ✓ Vacuum drying to yield stage 12 heparin
  - ✓ May be sold at this stage to other manufacturers of UFH and/or LMWH
- ❑ **Purification of heparin**
  - ✓ Dissolution of stage 12 heparin in mildly acidic water and filtration
  - ✓ Oxidation at alkaline pH to sanitize, de-color and de-pyrogenate
  - ✓ Cation exchange resin to remove cations
  - ✓ Ethanol precipitation and re-precipitation
  - ✓ Freeze drying

# Biological Activities of Heparin

## ❑ *Myriad Activities*

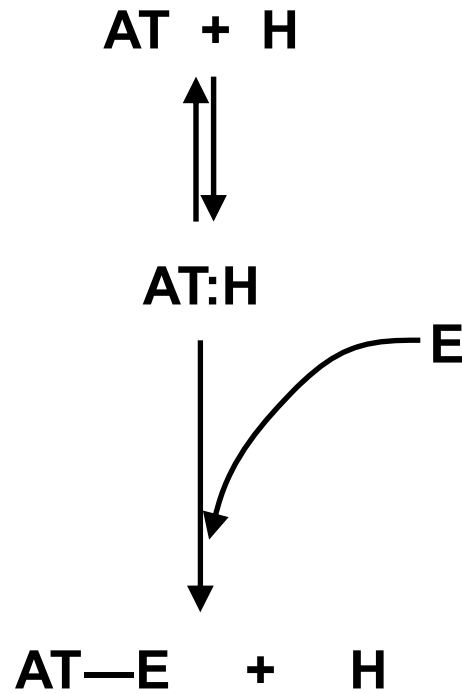
- ✓ Anticoagulant ... activates antithrombin to inhibit clot formation ... major clinical use
- ✓ Proliferation ... activates growth factors to induce cell growth, differentiation, morphogenesis, ...
- ✓ Wound healing ... binds to chemokines to modulate inflammation and wound healing
- ✓ Viral Invasion ... binds to enveloped virus glycoproteins to modulate infection of target cells
- ✓ Many other effects

# Heparin Regulation of Coagulation

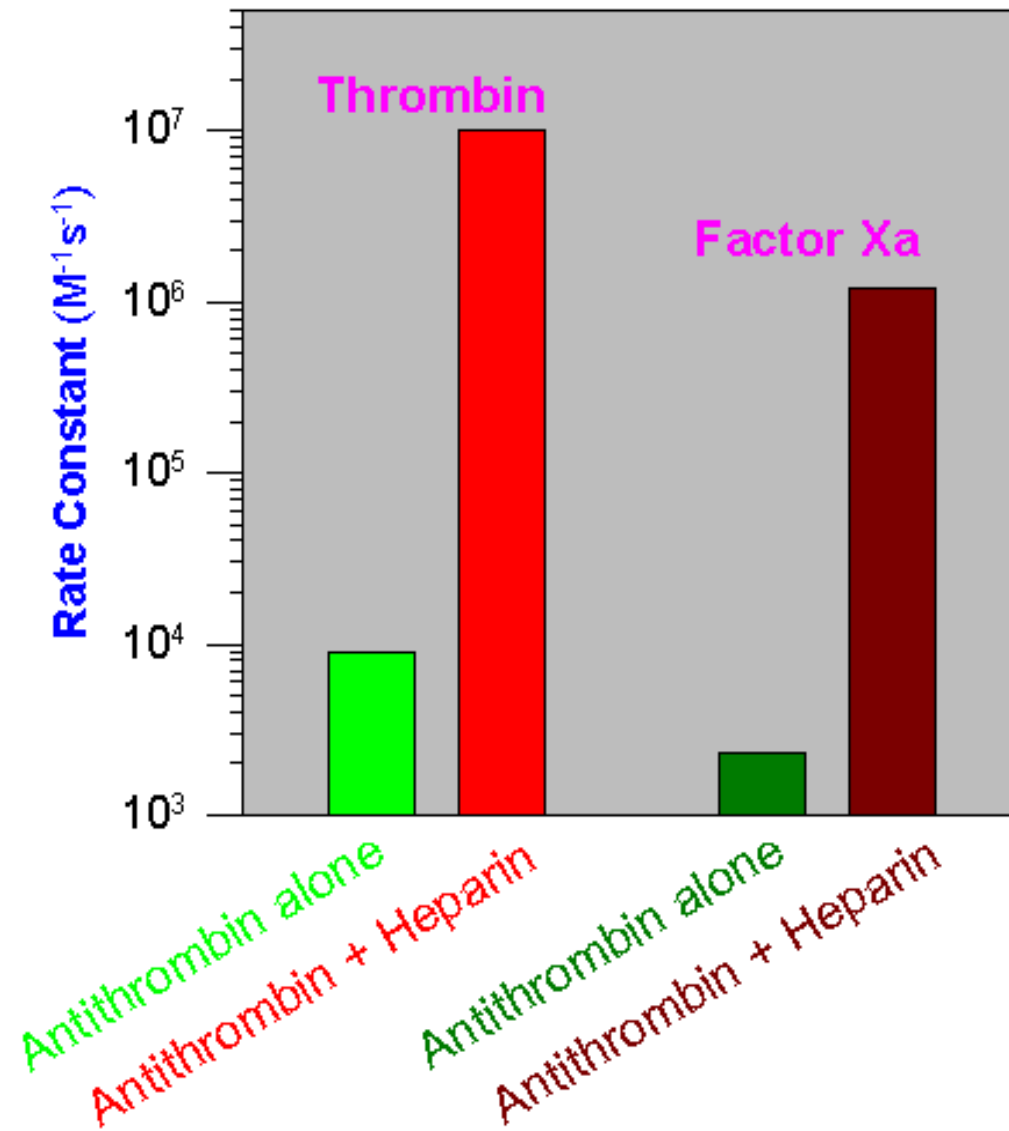




# Heparin Activation of Antithrombin



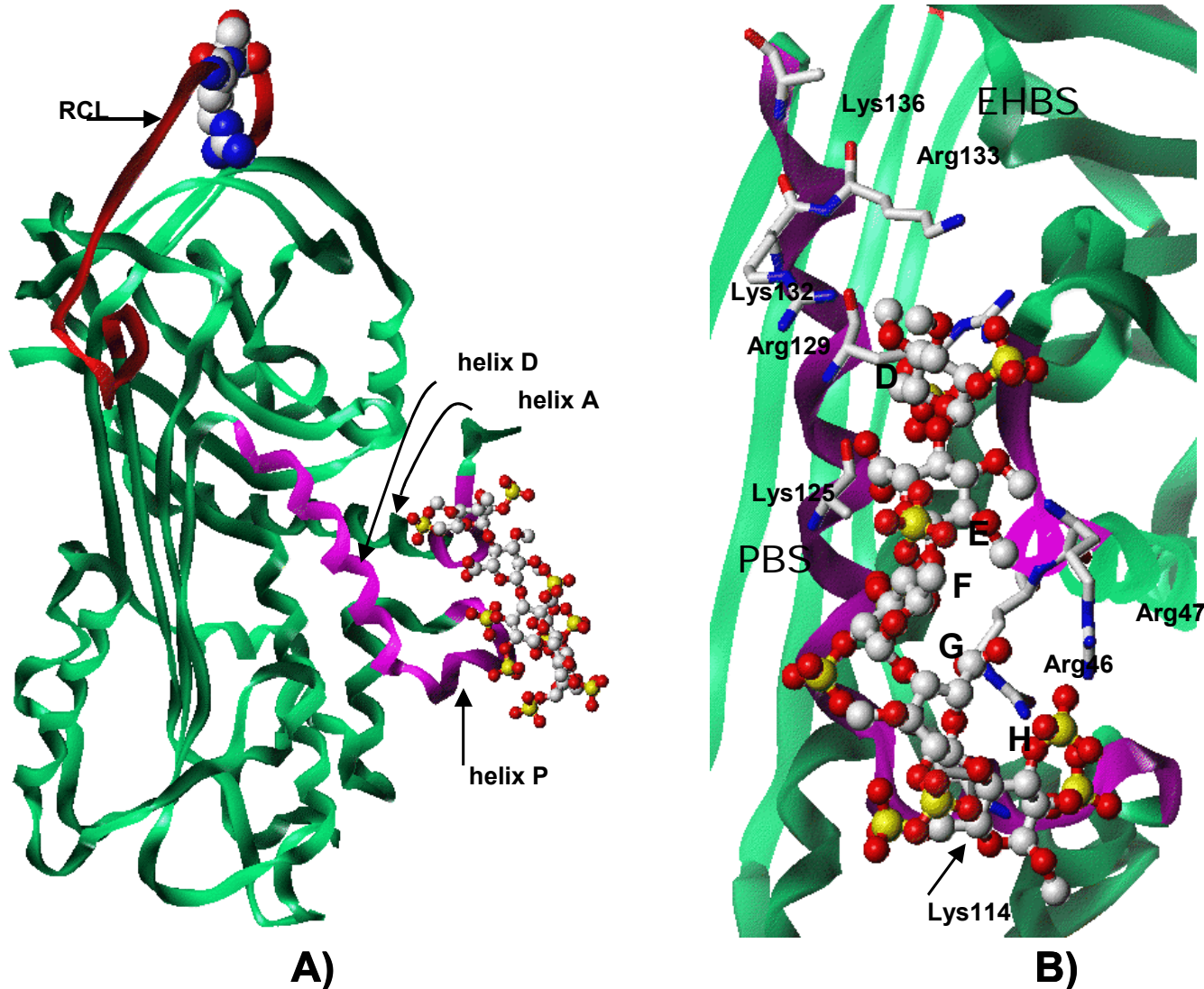
Accelerated Inactivation of Factor Xa and Thrombin



# Crystal Structure of Heparin – Pentasaccharide Complex

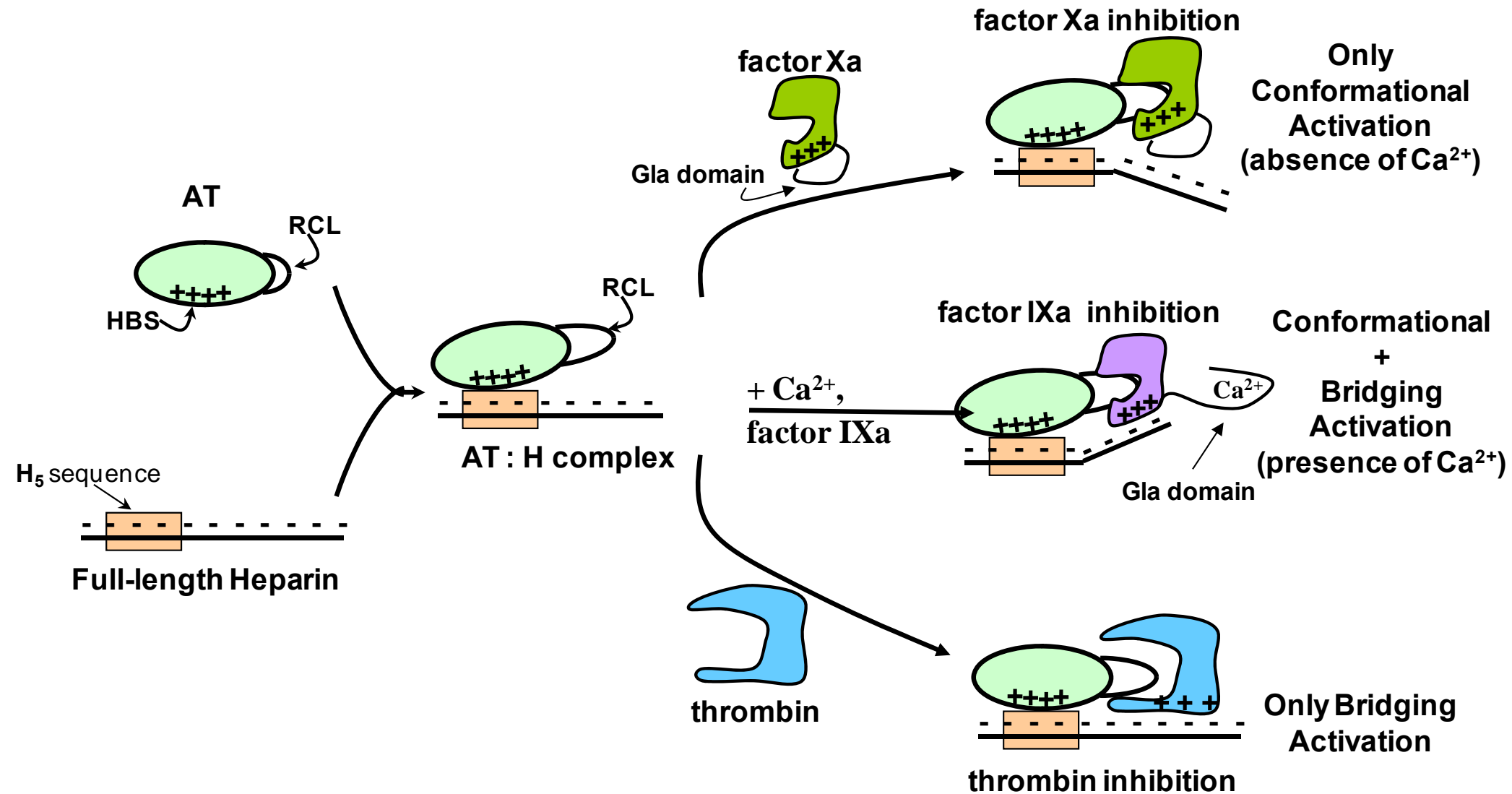
## □ *Heparin Binding Site on Antithrombin*

- ✓ *A trisaccharide and a disaccharide may function as allosteric effectors*



# Molecular Mechanism of Heparin Action

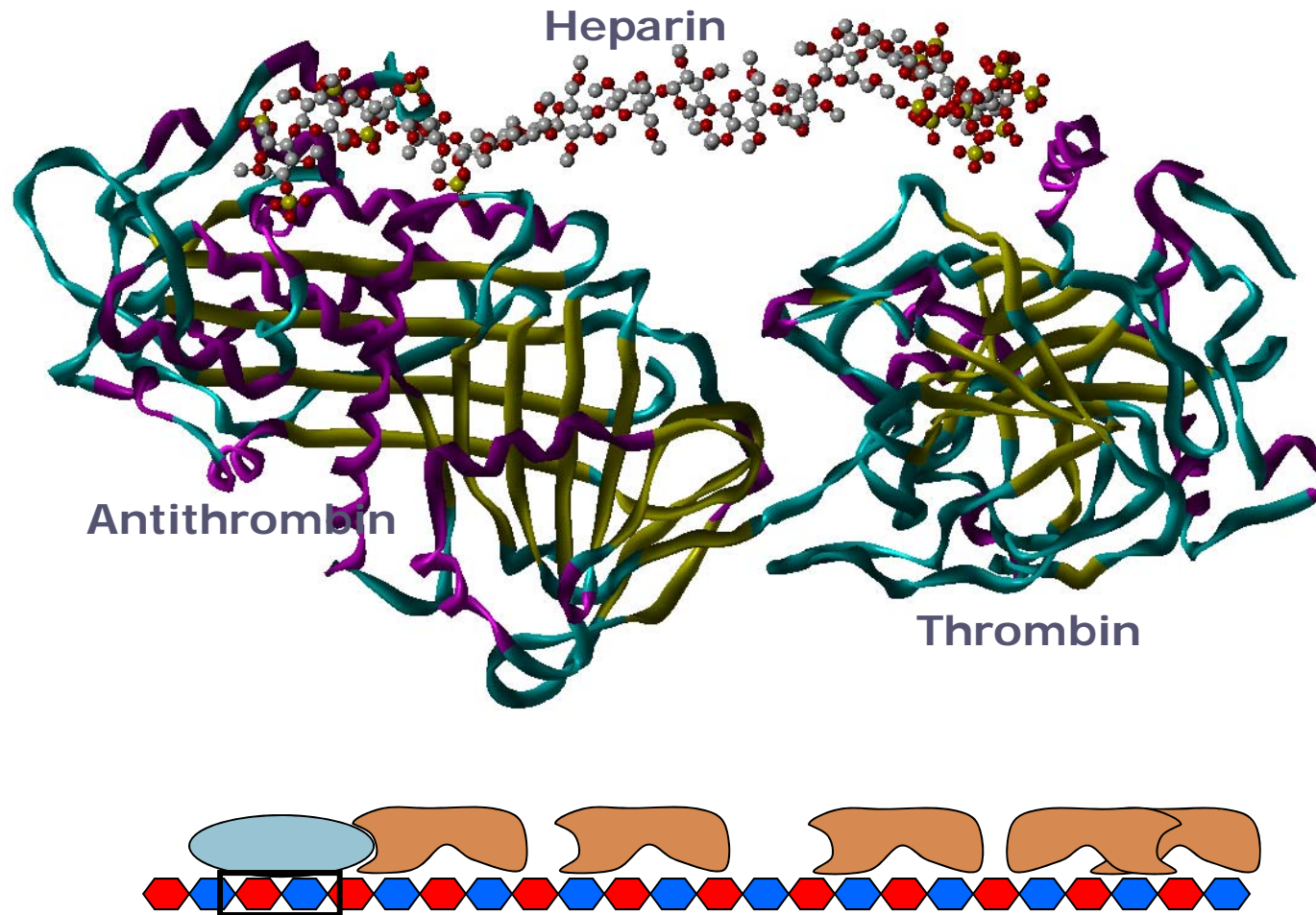
❑ *Mechanism is more complex than just allosteric activation*



# Molecular Mechanism of Heparin Action

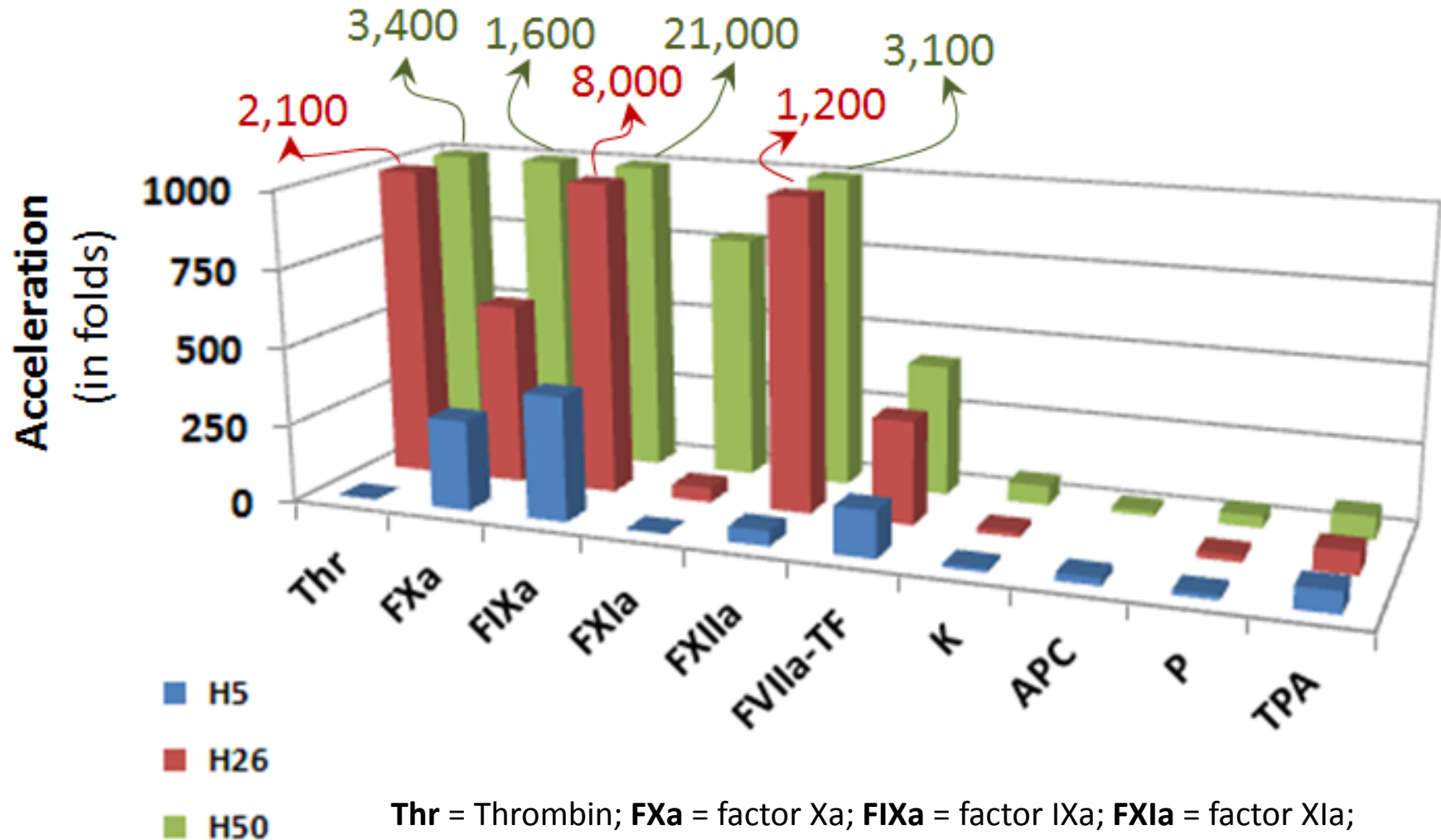
## □ *Proof of the Bridging Mechanism*

- ✓ *A long heparin chain may engage more than one protein or protein monomers*



# Differential Regulation of Coagulation Enzymes

## □ Chain length and heparin-binding site dependence



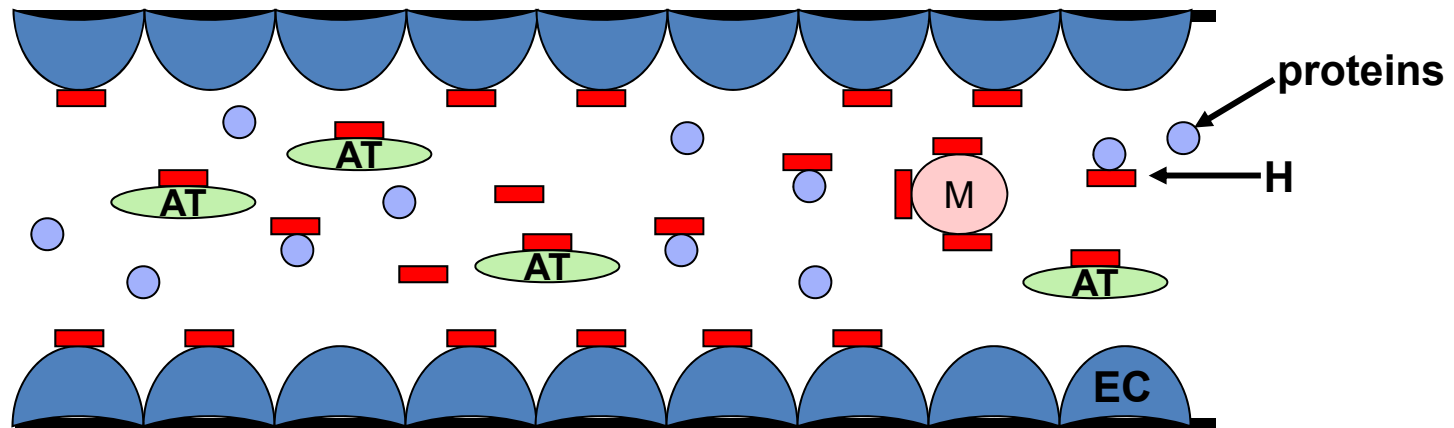
**Thr** = Thrombin; **FXa** = factor Xa; **FIXa** = factor IXa; **FXIa** = factor XIa;

**FXIIa** = factor XIIa; **FVIIa-TF** = factor VIIa – tissue factor; **K** = kallikrein;

**APC** = activated protein C; **P** = plasmin; **TPA** = tissue-type plasminogen activator

# Heparin's Adverse Effects

## ❑ *Myriad Effects*



*Adapted from Hirsh et al. (2001) Chest 119, 64S-94S*

- ✓ Heparin-induced thrombocytopenia .... 3.5% of treated patients
- ✓ Heparin resistance .... 25% of VTE patients
- ✓ Unable to inhibit factor Xa in prothrombinase complex
- ✓ Unable to inhibit thrombin bound to fibrin
- ✓ Binds to von Willebrand factor .... Inhibition of platelet function
- ✓ Binds to platelets .... inhibit platelet aggregation
- ✓ Binds to endothelial cells .... Heparin-induced bleeding
- ✓ Inhibits proliferation of vascular SMC
- ✓ Activates osteoclasts to promote bone loss
- ✓ Causes release of TFPI .... Added anticoagulant activity

# Heparin's Adverse Effects

## ❑ **Heparin-Induced Thrombocytopenia**

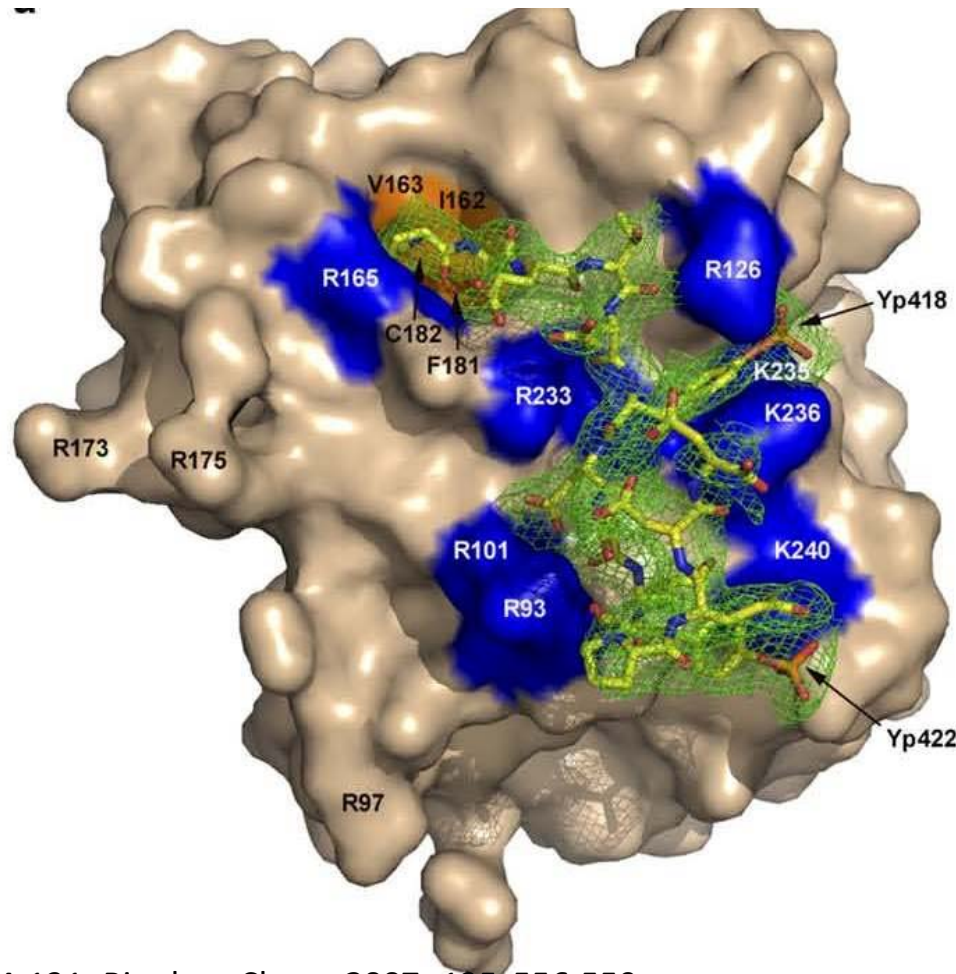
- ✓ *Significant drop in platelet count between 4 and 14 days after the start of heparin therapy*
- ✓ *Type I HIT is not clinically relevant ... drop in platelet count within 48 h ... rebound without discontinuation ... no immune reaction*
- ✓ *Type II HIT is clinically relevant ... ~3% patients develop clots!*
- ✓ *UFH binds to platelet factor 4 (PF4) to form a tetrameric complex, which is antigenic ... produces IgG and IgM antibodies ... the immune complex further activates PF4 ... setting up a cycle*
- ✓ *Activated platelets aggregate and form a clot ... effectively platelets are taken off circulation ... thrombocytopenia*
- ✓ *Most observed with UFH ... less with LMWHs*
- ✓ *Heparin pentasaccharide, fondaparinux, binds to PF4 but does not induce immune reaction*



# Heparin's Adverse Effects

## ❑ *Mechanism for Inability to Inhibit Thrombin in the Clot Bound Form*

- ✓ *Thrombin binds to the  $\gamma$  chain of fibrinogen (7% of total) through its exosite 2*
- ✓ *Competes with heparin binding to exosite 2 ... prevents binding to the AT – H complex .... no inhibition of clot bound thrombin*

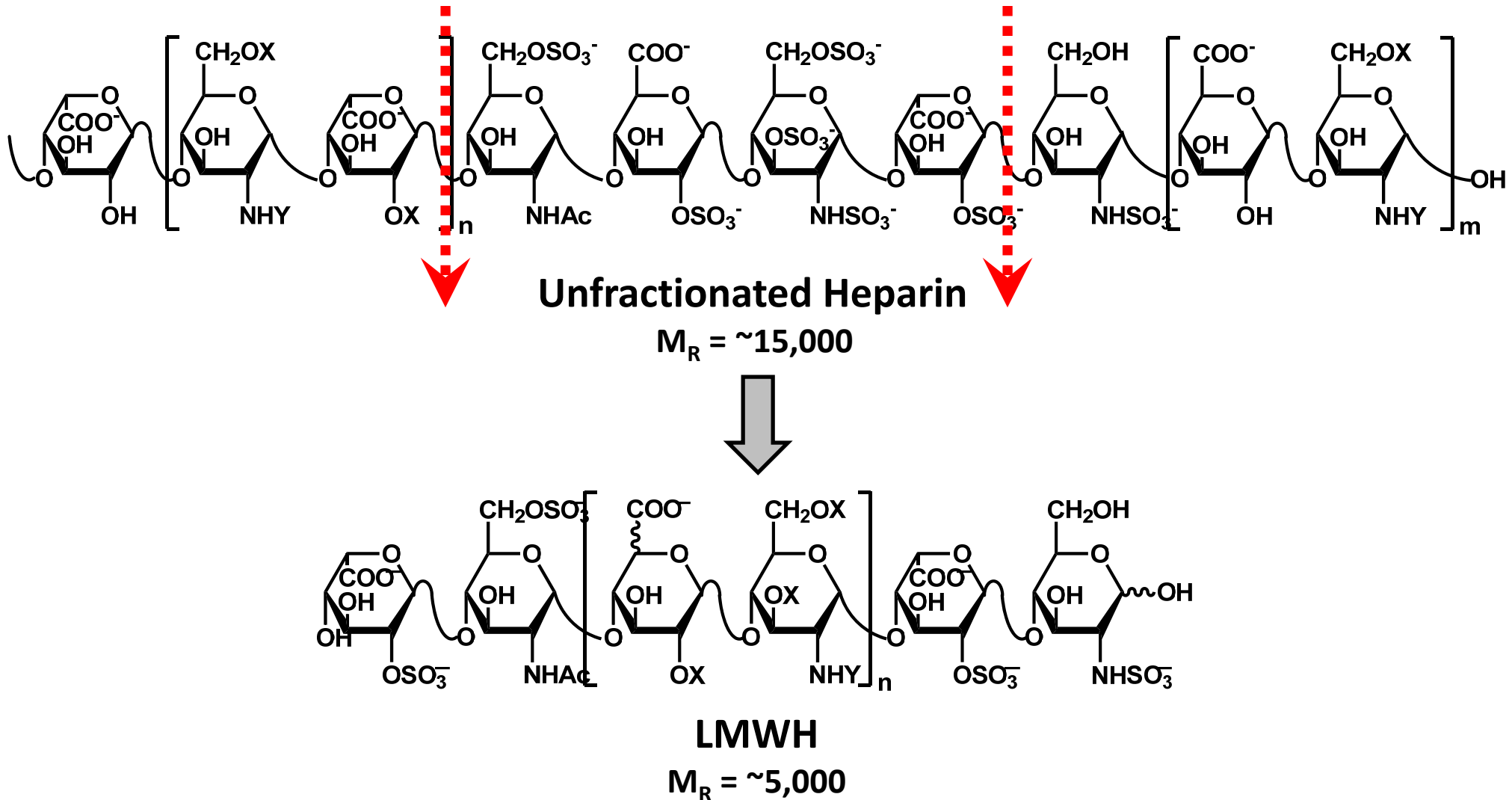




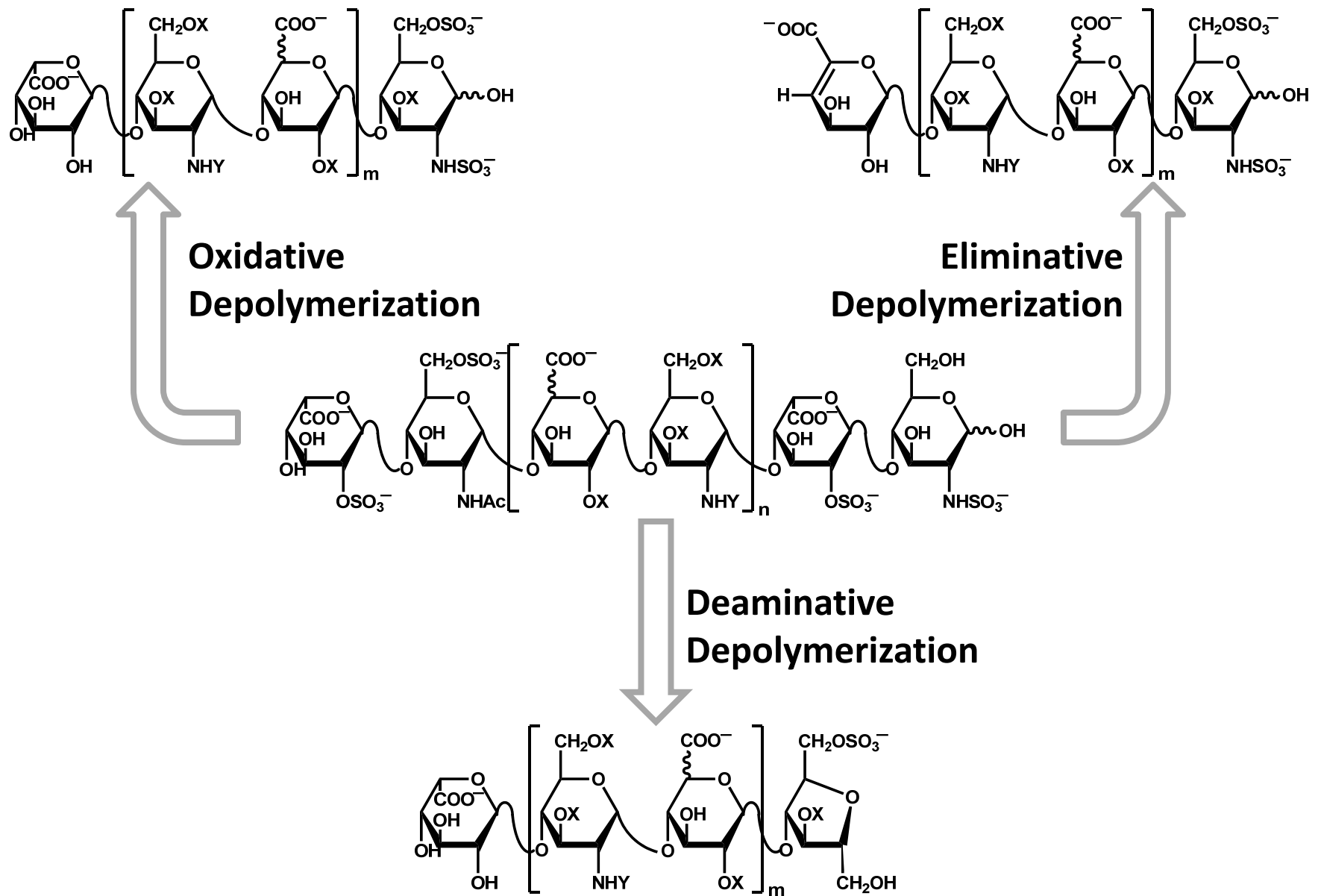
# Low Molecular Weight Heparins (LMWHs)

□ *LMWHs are essentially similar to UFH*

✓ *1/3<sup>rd</sup> the size*



# Preparation of Low Molecular Weight Heparins



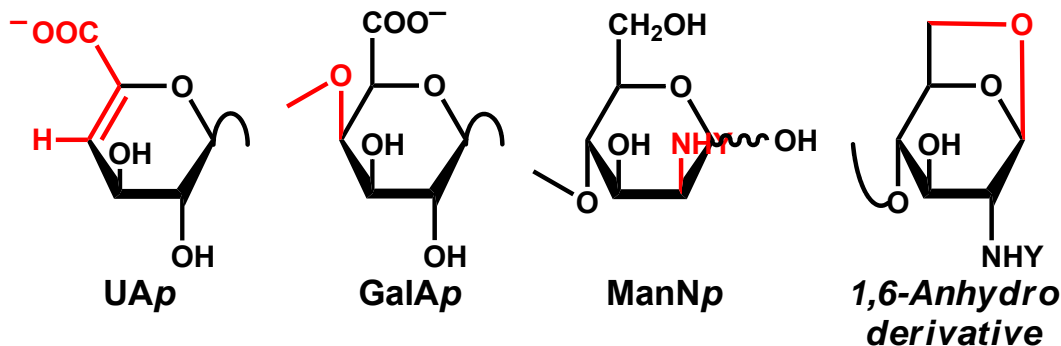
# Preparation of Low Molecular Weight Heparins

- ❑ **Source of UFH**
  - ✓ Pig intestine
  - ✓ Purified UFH
- ❑ **Basic Group of Methods**
  - ✓ Oxidative depolymerization
  - ✓ Deaminative depolymerization
  - ✓  $\beta$ -Eliminative depolymerization
- ❑ **Oxidative Depolymerization**
  - ✓ Using  $H_2O_2$  w/ (*parnaparin, Fluxum*) or w/o (*ardeparin, Normiflo*)  $Cu^+$
  - ✓ UFH is more susceptible to oxidants than to temp/acid/base
  - ✓  $H_2O_2$  generates OH radicals
  - ✓ Releases small mono-, di-, or tri- mers, especially unsubstituted at positions 2 and 3
  - ✓ Unsulfated uronic acids are more selectively oxidized
- ❑ **Deaminative Depolymerization**
  - ✓ N-nitrosation using nitrous acid (*dalteparin, Fragmin; nadroparin, Fraxiparin; reviparin, Clivarin*) or isoamyl nitrite (*certoparin, Sandoparin*) at the GlcNp2S
  - ✓ N-nitrososulfamide is unstable and gives C-2 carbocation
  - ✓ Rearrangement gives an anhydromannose with cleavage of glycosidic bond (see mechanism)
  - ✓ Anhydromannose can be reduced to anhydromannitol using  $NaBH_4$
- ❑  **$\beta$ -Eliminative Depolymerization**
  - ✓ Enzymatic with heparinase I (*tinzaparin, Innohep*) or chemical through alkaline hydrolysis (*enoxaparin, Lovenox or Clexane*)
  - ✓ Unsaturated uronate can be followed by UV at 232 nm
  - ✓ Enzymatic  $\beta$ -elimination at either GlcAp2S or IdoAp2S
  - ✓ Heparinase 1 inactivation stops the reaction
  - ✓ NaOH hydrolysis ... either on heparin or its quaternary ammonium salt
  - ✓ Enoxaparin from base hydrolysis of the benzyl ester of the benzethonium salt of heparin
  - ✓ Chemical  $\beta$ -elimination at either IdoAp2S or IdoAp

# Specific Composition of a LMWH for Clinical Approval

## □ *An Example of Enoxaparin*

- ✓  $M_R = \sim 4,500$
- ✓  $P = M_W/M_N = 1.1 \rightarrow 1.5$
- ✓ Chain length = 2  $\rightarrow$  32 monomers
- ✓  $MW < 2,000$  (<20%), 2,000 – 8,000 (68%),  $> 8,000$  (<18%)
- ✓ Fingerprints of 2  $\rightarrow$  12-mer (70–80%)  
...  $M_R = 3,600$
- ✓ Contains odd + even chains
- ✓ Remaining chains uncharacterized
- ✓ Specific proportion of 8 known disaccharides
- ✓ High affinity pentasaccharide sequence between 15 – 25 %
- ✓ Presence of GalAp (% unknown)
- ✓ Reducing end 70% GlcNp + 30% ManNp
- ✓ 1,6-Anhydro ring structures (15 – 25% chains)



# Similarities and Differences Between UFH and LMWH

## □ **UFH**

- ✓ *Animal product*
- ✓ *Longer polysaccharide*
- ✓ *Anti-IIa activity = 150 – 200 U/mg*
- ✓ *Anti-Xa activity = 150 – 200 U/mg*
- ✓ *Primarily intravenous*
- ✓ *Half-life short ... 1 – 2 h*
- ✓ *Monitoring required ... aPTT*
- ✓ *Effectively reversed by protamine sulfate*
- ✓ *Antithrombotic .... arterial and venous thrombosis, surgical anticoagulation, unstable angina, adjunct to cancer chemotherapy, .....*
- ✓ *Several adverse effects, especially major and minor bleeds and HIT*

## □ **LMWH**

- ✓ *Derivatized animal product*
- ✓ *Shorter polysaccharide*
- ✓ *Anti-IIa activity = 20 – 45 U/mg*
- ✓ *Anti-Xa activity = 80 – 125 U/mg*
- ✓ *Sub-cutaneous*
- ✓ *Half life longer ... 4 – 6 h*
- ✓ *Monitoring not strict*
- ✓ *Reversibility with protamine not effective*
- ✓ *Antithrombotic .... arterial and venous thrombosis, surgical anticoagulation, unstable angina, adjunct to cancer chemotherapy, .....*
- ✓ *Lesser adverse effects ... reduced bleeds and HIT*

# Conceptual Understanding on Biosimilarity

- *What are biosimilars*
- *Why biosimilars?*
- *What are the rules on biosimilarity?*
- *What aspects dictate biosimilar low molecular weight heparins?*

# Terminologies

- *Difference between biogeneric and biosimilar*
  - ✓ *Generic ... inherently relates to 'identity of structure' ... borrowed from the small molecular world*
  - ✓ *Similar ... inherently relates to 'homology of structure' ... borrowed from the protein world*
- *Example*
  - ✓ *An unglycosylated, unmodified native protein made up of standard amino acid residues when expressed recombinantly can have identical primary sequence, which gives identical three dimensional structure ... **generic***
  - ✓ *But a glycosylated plasma protein when made recombinantly may not have the same saccharide composition ... yet may be functionally (safety and efficacy) equivalent ... **similar!***

# Definition

- *Biosimilars ... biological medicinal products ...*
  - ✓ ... that are similar in terms of quality, safety and efficacy to an already licensed reference medicinal product
  - ✓ ... that follow expiry of market exclusivity period of the reference product
  - ✓ ... that are authorized based on proof of similarity required by the regulatory bodies
- *Biosimilarity ...*
  - ✓ ... has not been applied as yet to cell therapies and other complex mixture of products, e.g., plasma concentrates
  - ✓ ... applies typically to purified components, e.g., purified proteins or purified heparins



# Why Biosimilar?

- *Protein products are highly complex ...*
  - ✓ *Size ... MW 10,000 – may be 200,000 or higher*
  - ✓ *Structure ... 1<sup>o</sup>, 2<sup>o</sup>, 3<sup>o</sup>, and 4<sup>o</sup> structure ... not just one structure*
  - ✓ *SAR ... usually less well defined in comparison to small molecules*
  - ✓ *Stability ... generally low ... depends more on temperature, storage conditions, excipients, pH, and a range of other factors*
  - ✓ *Microheterogeneity ... PTMs and other modifications (oxidation, deamidation, denaturation, aggregation, etc) ... also pegylation (one or more sites) ... even highly purified proteins never consist of one single molecular entity ...*

# Why Biosimilar?

- *Manufacturing process ... is critical*
  - ✓ *Living cells are the manufacturing facility ...*
  - ✓ *Living cells are dynamic entities ... running under some control, but that may not be identical between parent and alternate manufacturer*
  - ✓ *An alternate manufacturer ... may not have access to original cells and exact operating procedures*
  - ✓ *Variations may generate heterogeneity ... although not all heterogeneity may generate clinical variability*

# Why Biosimilar?

- *Analytical characterization ... may be insufficient*
  - ✓ *Highly orthogonal physicochemical methods of characterization ...may not be able to identify all the different constituents of a biomolecule*
  - ✓ *Analytical methods ... show comparability .... not identity*
  - ✓ *For example,*
    - ❖ *... glycosylation sequence ... its location, its percent modification ... become more difficult when protein is glycosylated at various positions*
    - ❖ *... some O-GlcNAc is time-dependent (dynamic)! ... depends on the state of the cell*

# Biosimilarity ... US Regulation

- *Biologics Price Competition and Innovation Act of 2009*
  - ✓ *Abbreviated regulatory approval for biosimilars*
    - ❖ *... side-by-side comparison*
    - ❖ *... may require fewer patients and reduced efficacy / safety data*
    - ❖ *... may be approved for fewer indications*
    - ❖ *... may possess immunogenicity differences of no clinically significant value*

# Are Low Molecular Weight Heparins Biosimilar?

- ❑ **Source of UFH**
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# Can a Biosimilar LMWH be Approved for Clinical Use?

## ❑ **Each LMWH is unique!**

- ✓ FDA ... not inter-changeable
- ✓ Significant structural differences
- ✓ Significant pharmacological differences
- ✓ Significant toxicological differences

## ❑ **Five Criteria**

- ✓ Used to evaluate similarity OR differences
- ✓ Physicochemical characteristics
- ✓ Source of UFH
- ✓ Structural composition
- ✓ In vitro biological activity
- ✓ In vivo pharmacodynamic profile

## ❑ **An Example of Enoxaparin**

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